



Towards the standardization of graphene growth through carbon depletion, refilling and nucleation



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ABSTRACT

As the graphene is transforming from a laboratory niche material into a mass-produced industrial commodity, maintaining high quality standard across different chemical vapor deposition (CVD) systems becomes the first priority. In this paper, we investigated the carbon diffusion behavior involving two kinds of annealing gases, which reduced the nucleation density in different rate. Compared to the time-consuming and hazardous high-pressure H₂ annealing, O₂ annealing represents a more efficient route to reduce the nucleation density down to ~10 nuclei cm⁻² in half an hour. We also proposed a well-defined carbon depletion and refilling mechanism, with which we can precisely gauge the minute carbon concentration inside Cu (less than 10 ppm), and control the nucleation density in a reproducible manner. Moreover, we find that O₂ displays an impressive carbon depletion activity, ~6 order more efficient than H₂. The graphene single crystals' quality was confirmed with Raman spectroscopy and field effect transistor (FET) devices.

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1. Introduction

Since 2004, graphene has emerged as the representative two-dimensional (2D) materials with superb physical properties like excellent electrical conductivity, thermal stability and mechanical strength [1–5]. As an important candidate for 2D electronics, a lot of research attention has been casted on its synthesis of large area films and single domain crystals over the past several years [6–9]. CVD-grown graphene films are born polycrystalline with grain boundaries, degrading its electrical performance [10]. Therefore, the single crystal pathway through restricting nucleation density becomes more technically attractive. Fig. 1a illustrates how the nucleation density determines the final two forms of products. Low density favors the single crystal pathway. The research of growing graphene single crystals [11–13] flourished right after the first Cu-catalyzed CVD graphene film [6,14]. In 2012, on molten Cu surfaces, ~100–200 μm-sized single crystals were synthesized [15,16]. Later, the size was quickly pushed into millimeter region [17–19]. Tour's group obtained 2.3 mm-sized hexagonal shaped monolayer graphene crystals on electrochemically polished and H₂-annealed Cu substrates [18]. In their report, the importance of long period and

high-pressure H₂ treatment was identified as the critical step to reduce the nucleation density. The recent crystal's size was doubled by utilizing intrinsic cupric oxide layer on Cu surface to further reduce the nucleation density [17]. Experimentally, people are getting larger and larger graphene crystals in their own CVD systems with specific growth recipes [20,21]. However, most of the experiments were carried out with their own sets of instruments and gas-introducing steps, therefore ended up with complex results and controversial mechanism, which hurdles the real industrial applications of this material.

In order to elucidate the mechanism of graphene nucleation, we break down the CVD graphene growth into two steps: carbon depletion and refilling. The ideal nucleation condition was featured with a fast and thorough carbon depletion followed with a slow refilling step. The speeds of the depletion and refilling processes can be tuned chemically with controlled H₂ or O₂ flows and the CH₄ partial pressures. The carbon concentration and its diffusion behavior at ~1070 °C were synchronously considered.

2. Experimental

2.1. Single crystal graphene synthesis

A tubular Cu substrate, formed by rolling a piece of electro-

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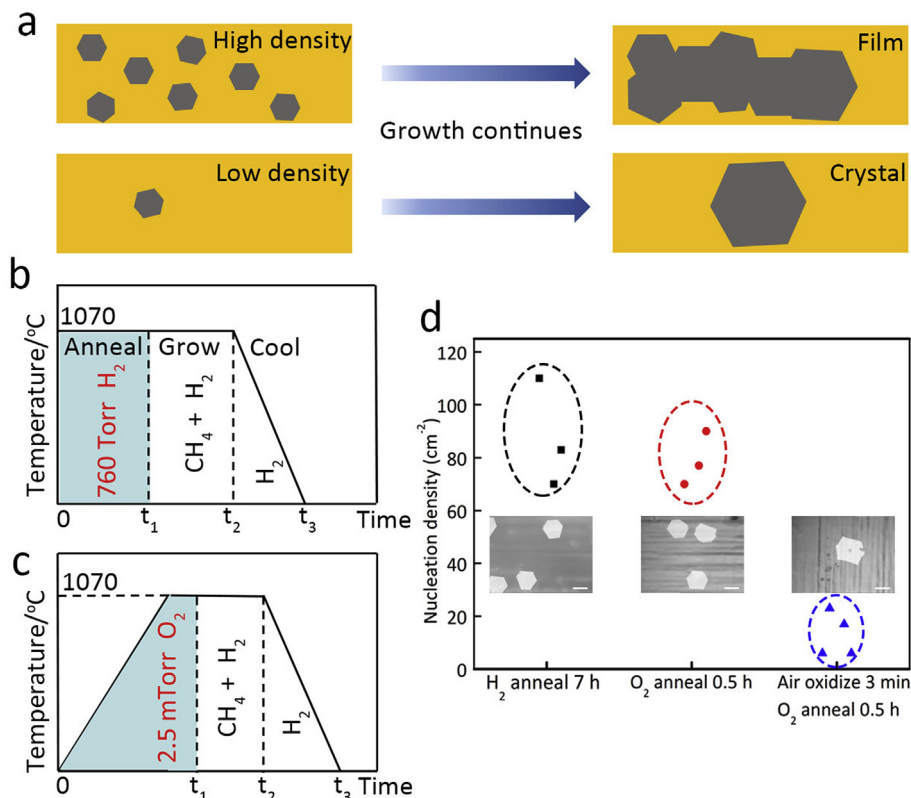


Fig. 1. Graphene nucleation density with H₂-annealing and O₂-annealing methods. (a) A schematic illustration showing the difference of high and low nucleation density for film and single crystals. (b) Growth with H₂-annealing. (c) Growth with O₂-annealing. (d) Nucleation density with different annealing methods. The flow rate ratio of H₂/CH₄ during growth is 900:1 and the growth time is 0.5 h. Scale bars: 50 μm in (d). (A colour version of this figure can be viewed online.)

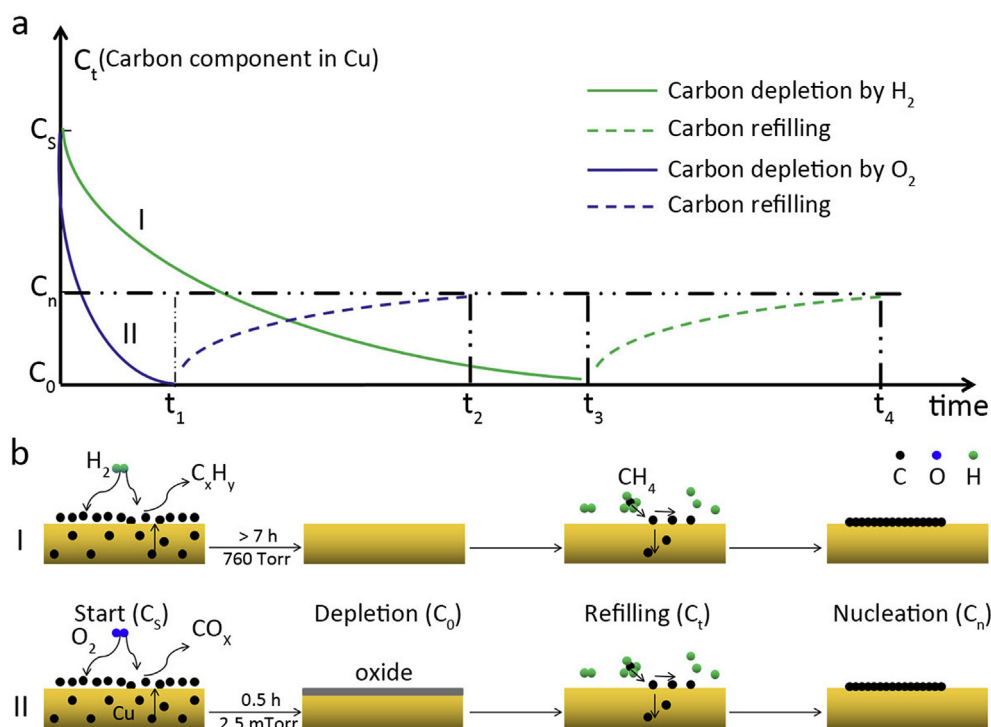


Fig. 2. Carbon diffusion schemes in graphene growth. (a) The profiles depicting the time evolution of carbon concentration in Cu (C_t) following the two respective methods. Both purple and green solid curves represent the carbon-depletion steps. Both purple and green dashed lines represent the carbon-refilling stages. The initial carbon concentration in Cu is C_s , varying with different samples. C_0 is the carbon concentration when soluble carbon is thoroughly depleted from Cu. C_n is the carbon concentration when graphene starts to nucleate. (b) The schematic illustration depicting possible mechanisms of the two separate methods, H₂ and O₂ annealing, respectively. (A colour version of this figure can be viewed online.)

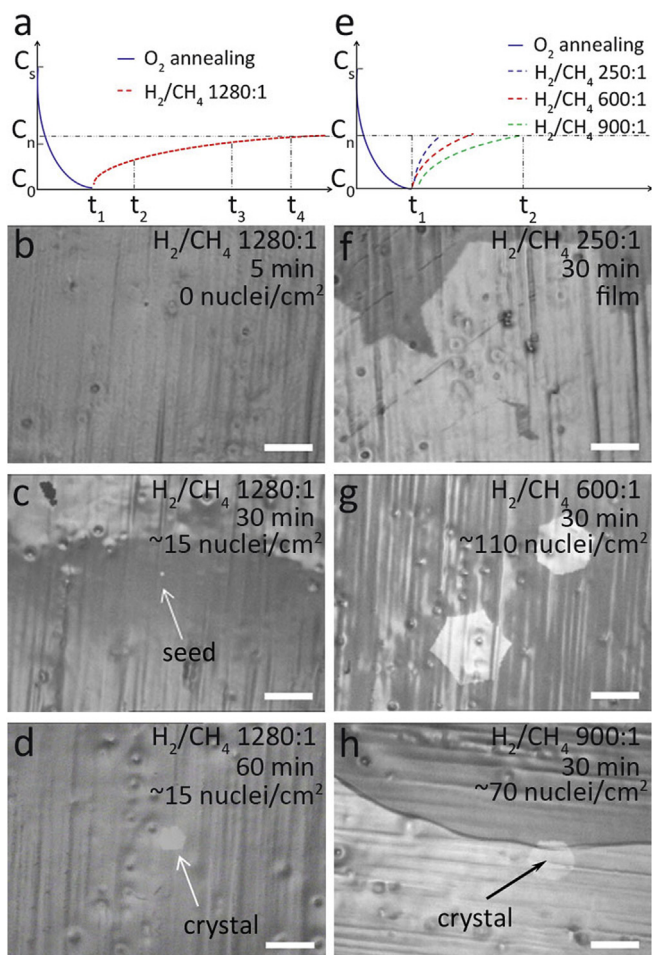


Fig. 3. Graphene nucleation density under varying growth condition. (a) The profile depicting the carbon concentration in Cu with fixed H_2/CH_4 ratio $\sim 1280:1$ and different growth time. (b–d) Representative optical images of the growth results on Cu substrates of different refilling time of 5 min, 30 min and 60 min, respectively. (e) The profile depicting the carbon concentration in Cu with different carbon refilling rate. (f–h) Representative optical images of growth result on Cu substrates with 30 min growth duration. The H_2/CH_4 ratios are 250:1, 600:1 and 900:1, respectively. Scale bars: 100 μm . (A colour version of this figure can be viewed online.)

chemically polished Cu foil with a glass rod, was adapted to grow single crystals of graphene. This tubular shape can facilitate the Cu vapor to reach equilibrium between evaporation and re-deposition and improve the inner surface's smoothness [19] (Fig. S1). To consistently assess the nucleation density, we only count the crystals grown on the inner surface, as the outer surface works as a shelter and is often sacrificed during the transfer step. The detailed methodology to calculate the nucleation density is illustrated in Fig. S2. All of the experiments were carried out in described procedures in Fig. 1 in a pressure-controlled CVD system. In this part, we only investigate the depletion stage by fixing other growth parameters such as the flow rate ratio of H_2/CH_4 and the growth time. The carbon embedded in Cu can be depleted by reacting with either H_2 or O_2 gas. The shaded area in Fig. 1b shows a typical annealing process with H_2 under ~ 760 Torr for time t_1 . After the annealing, CH_4 is introduced into the CVD system to initiate the following refilling and growth from t_1 to t_2 . After growth ends, Cu substrate was pulled out of the hot zone and cooled down in a reduced gas atmosphere from t_2 to t_3 . With 7 h-long H_2 -annealing under high pressure, the average nucleation density reaches ~ 85 nuclei cm^{-2} (Fig. 1c).

Alternatively, we introduced O_2 to deplete carbon. Fig. 1d displays the growth process with O_2 -annealing. The main difference from the H_2 -annealing method in Fig. 1b is the CVD system was first vacuumed to ~ 10 mTorr, in which case the partial pressure of O_2 is equivalent to ~ 2.5 mTorr. The Cu substrate was moved into the furnace while temperature was ramping up from room temperature to 1070 $^\circ C$ at 50 $^\circ C/min$. It was stabilized for ~ 10 min after reaching 1070 $^\circ C$. The total annealing time of t_1 in Fig. 1b is controlled to be ~ 0.5 h. By using O_2 as the annealing gas, the average nucleation density drops to ~ 80 nuclei cm^{-2} (Fig. 1c). The nucleation density is equivalent to that of 7 h H_2 -annealing, suggesting a comparable depletion level for these two samples, which will be discussed in following simulation part. When the Cu substrate was intentionally oxidized in the air at 180 $^\circ C$ under ambient pressure for 3 min before being subjected into CVD system, the average nucleation density drops down to ~ 10 nuclei cm^{-2} (Fig. 1c). All different carbon-depletion experiments were repeated multiple times to make sure their reproducibility. The detailed annealing and growth methods were discussed in Supplementary Information.

2.2. Fabrication and characterization of graphene FET device

The graphene samples on 300 nm thick SiO_2/Si substrates were first located using a scanning electron microscope. The electrodes consisting of Cr/Au (5/25 nm) were deposited with electron-beam lithography, followed with electron-beam evaporation. Oxygen plasma was performed to etch extra graphene with a polymer mask. The graphene FET device was measured using a semiconductor analyzing system (Keithley 4200) at room temperature.

2.3. Optical and spectroscopic measurements

Optical and spectroscopic measurements were characterized using an optical microscopy (Saikdigital 2008L) and a Raman spectroscopy (LabRAM HR, 514 nm laser wavelength).

3. Discussion

The O_2 -annealing process leads to a low nucleation density in short time compared to H_2 -annealing. Based on this, we propose the carbon diffusion schemes and related mechanisms to describe these two recipes in Fig. 2. The carbon concentration in Cu (C_t) starts from C_s . During the depletion step, it drops down to C_0 , which we consider as a thorough depletion status. O_2 (labeled as I) depletes the carbon faster than H_2 (labeled as II). Therefore, plot I has a steeper descending slope than plot II. The carbon refilling steps were using the same rate ($H_2/CH_4 = 900:1$) in parallel ascending curves. The proposed reacting mechanism of these two processes are shown in Fig. 2b. In the first step of Fig. 2b–I, carbon is gradually depleted from Cu by H_2 during a long annealing time. The possible depletion products could be a series of C_xH_y . The thoroughly depleted Cu with $C_t = C_0$ is obtained. In the next step, CH_4 is introduced into the CVD system and then decomposes into reactive hydrocarbon fragments as the feedstock of carbon atoms. These carbon atoms diffuse from the Cu surfaces into its center. Once C_t reaches C_n , graphene starts to nucleate on Cu surface. The O_2 -annealing process is described in Fig. 2b–II. Carbon is reacting with O_2 and depleted from Cu in the form of CO_x . Additional O_2 can also react with Cu and form a copper oxide layer. In the refilling step, the oxide layer gets reduced rapidly with CH_4 and H_2 and carbon atoms start to refill Cu gradually. The graphene nucleates on Cu surface same as Fig. 2b–I once C_t reaches C_n .

To study the refilling conditions, we used thoroughly depleted Cu substrates to carry out two sets of growth experiments: fixed

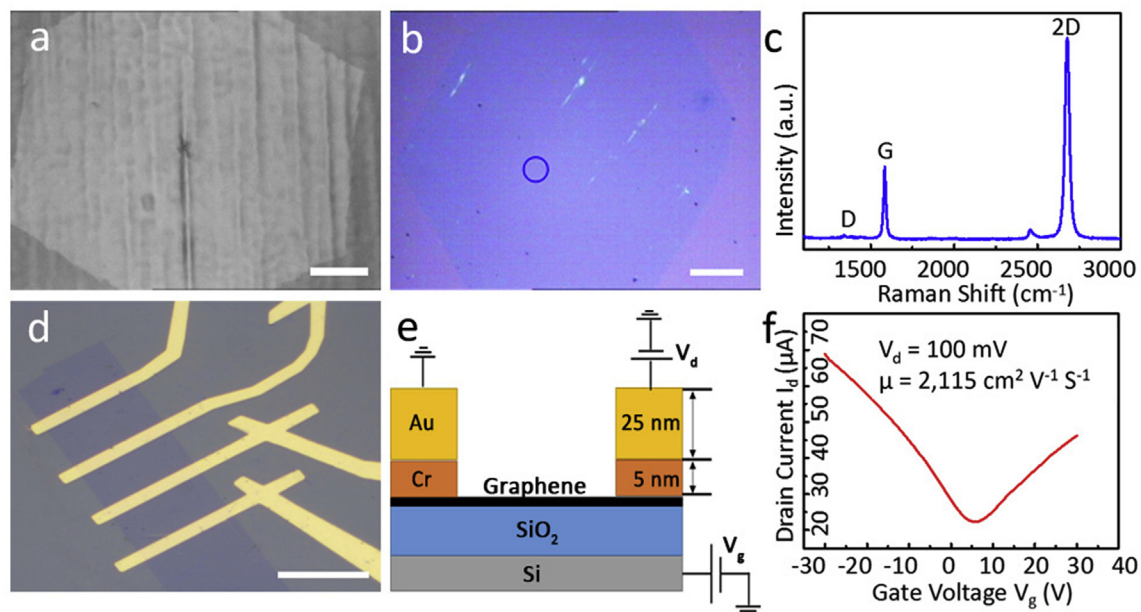


Fig. 4. Characterization of large single crystals synthesized under optimized depletion-refilling condition. (a) Representative optical images of graphene single crystals grown under optimized depletion-refilling condition. (b) Optical image of the graphene single crystal transferred onto SiO₂/Si substrates. (c) Raman spectrum taken from the blue-circled region in (b). (d) Optical image of a single crystal graphene FET device on the 300 nm SiO₂/Si substrate. (e) The cross sectional structure of a graphene FET. (f) Room-temperature electrical transport characterization for the FET device with 100 mV drain voltage. The O₂-annealing times are both 0.5 h and the growth time is 3–5 h. Scale bars: 100 μm in (a), 50 μm in (b), 20 μm in (d). (A colour version of this figure can be viewed online.)

H₂/CH₄ with different growth time (Fig. 3a–d) or different H₂/CH₄ with the same growth time (Fig. 3e–h). We only used O₂-annealing method to deplete the carbon, illustrated by the purple solid lines in Fig. 3a and e. With a H₂/CH₄ ratio of 1280:1, no graphene domains nucleate on Cu within 5 min. As the growth time is stretched to 30 min, very small graphene seed forms (Fig. 3c). Therefore, we can regard 30 min as the fully refilled time under this 1280:1 refilling rate. When the growth time reaches 60 min, these crystals grow slowly in size with an invariable nucleation density ~15 nuclei/cm² (Fig. 3d). With H₂/CH₄ of 250:1, the carbon-refilling rate is much faster than the 1280:1 and the nucleation density is too high to be counted, forming a continuous film. With H₂/CH₄ of 600:1, the nucleation density drops to ~110 nuclei/cm² (Fig. 3g), and for the H₂/CH₄ is 900:1, it falls further down to ~70 nuclei/cm² (Fig. 3h). The carbon refilling process is rather similar to fill an empty pool with water. With longer refilling time and larger carbon flux (lower H₂/CH₄), more carbon atoms can be refilled into Cu. Once the carbon concentration in Cu reaches the saturation point C_n, the refilling ends and nucleation starts.

Via theoretical simulations, we can better understand the behavior of carbon depletion and refilling in Cu. At 1070 °C, the solubility of carbon in Cu is ~10 ppm (Fig. S3) [22]. Although this value is negligible, it indeed plays a critical role in our depletion-refilling-nucleation model. The diffusion coefficient of carbon in Cu is given by D , which is a constant at fixed temperature and its value is $1.78 \times 10^{-7} \text{ cm}^2 \text{ s}^{-1}$ at 1070 °C (Supplementary Information Equations (1) and (2)) [23,24].

To study the carbon diffusion behavior in the depletion stage, previous experimental results have shown that 7 h H₂ depletion is similar with 0.5 h O₂ depletion method. It should be noted that the partial pressure difference of the depleting gases has to be taken into account here. We compared the ability of O₂ to deplete carbon with that of H₂ via Fick's law [25]. Results in Fig. S4 and Supplementary Table 1 show that O₂ prevails H₂ in depleting carbon at a rate of ~10⁶ times faster. Therefore, the oxidization in air (O₂ pressure ~160 Torr) in Fig. 1d would introduce more oxygen

content in Cu, which could further suppress the nucleation centers down to ~10 nuclei cm⁻². Throughout the depletion stage, a standard 25-μm-thick Cu substrate keeps a homogenous carbon distribution from center to its surface, with less than 3% concentration variation (Fig. S5). The whole Cu substrate can be taken as “transparent” as the carbon diffusion is kinetically fast enough with its diffusion coefficient $\sim 1.78 \times 10^{-7} \text{ cm}^2 \text{ s}^{-1}$. Thicker Cu substrate means longer route for C to diffuse in and out, which certainly increases the carbon concentration difference between the surface and center of Cu.

In the refilling stage, the direction of C diffusion reverses, going from Cu's carbon-rich surface to its carbon-poor center. This process is analogous to the traditional carburization in iron and steel industry [26]. Our experiment has shown that when H₂/CH₄ is 1280:1, a refilling time of 30 min (Fig. 3c) is needed to saturate the Cu, back from its thoroughly depleted status C₀. The calculated carbon concentration on Cu surface is 10.34 ppm (Supplementary Equation (6)), which is only a little higher than the nucleation concentration C_n, ~10 ppm at growth temperature of 1070 °C. If the refilling time is shorter than 30 min, no detectable graphene seeds form (Fig. 3b). Our simulations also suggest that if H₂/CH₄ is higher than 1335:1, carbon concentration in Cu center would never reach its saturate point, at which the surface carbon concentration is ~10 ppm. Thus no graphene would nucleate on Cu surface. It has been verified by the optical image and Raman data in Fig. S6b, in which we set the H₂/CH₄ as 1500:1 and no graphene nuclei formed on Cu even through the growth time was stretched to 3 h. On the other hand, higher flux of CH₄ gas can saturate the Cu faster (Supplementary Equation (7)). If overflowed carbon sources is given, such as H₂/CH₄ is 250:1, it can saturate the Cu in ~2.5 s. Only 7.8 s is needed for 600:1 and 32.4 s for 900:1, according to our calculation. Although, 2.5 s might be too short in a CVD growth process to be monitored, Fig. S6c shows that graphene can nucleate on Cu in just 25 s when the H₂/CH₄ is 250:1, consistent with our calculations. In this high refilling rate, the nucleation happens too fast to be controlled. For single crystal growth, the flow rate ratio of

H₂/CH₄ between 900:1 and 1280:1 is the most suitable refilling condition. However, for the graphene film growth which doesn't concern about the nucleation density, the H₂/CH₄ can be set well below 250:1, usually close to ~1:20⁶.

By adopting an optimized carbon depletion and refilling method, which is characterized with O₂ annealing for ~0.5 h and a high H₂/CH₄ ratio (900:1), we synthesized graphene single crystals for further electronic measurements. The hexagonal and uniform optical contrast across the crystal suggest only monolayer graphene was synthesized without multilayer seeds and grain boundaries (Fig. 4a–b). The Raman spectrum shows three typical bands, the D (~1350 cm⁻¹), G (~1580 cm⁻¹) and 2D (~2680 cm⁻¹) bands (Fig. 4c). The tiny D band reflects graphene crystal's high quality. The sharp and symmetric 2D bands, with the full width at half maximum (FWHM) of ~33 cm⁻¹ and the 2D/G intensity ratio of ~2.69 are consistent with the Raman signatures of single layer graphene [27,28]. We also fabricated the field effect transistor (FET) devices from this graphene sample to evaluate its electrical property (see Methods). Fig. 4d displays the optical microcopy image of the FET device made from a single-crystal graphene and Fig. 4e represents the cross section layout of the device. The electron mobility (μ) is ~2115 cm² V⁻¹ s⁻¹ calculated from the data presented in Fig. 4f, comparable with the best CVD graphene samples [29–31]. The detailed electrical transport calculation is in the [Supplementary Information Equations \(8\) and \(9\)](#).

4. Conclusions

In summary, we have systematically studied the CVD graphene growth and nucleation behavior in O₂ or H₂ annealing environments, and proposed a carbon-depletion-refilling mechanism. According to this mechanism, we can readily calculate the carbon depletion and refilling rate for different graphene growth systems, and optimize the outcomes with both low nucleation density and high repeatability. Theoretical simulations reveal that O₂ can deplete the carbon in Cu ~10⁶ times faster than H₂. With additional oxidation at 180 °C in air, the nucleation density further drops down to ~10 nuclei cm⁻² in half an hour. This illuminates a promising path for CVD graphene's scale-up production, in an efficient and standard way. On the other hand, the growth speed is another important issue in graphene's mass production, which is definitely worth pursuing in future studies.

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Appendix A. Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.carbon.2017.04.055>.

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